

# Nordhaus-Guddam Type Relations of Three Graph Coloring Parameters

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## Abstract

Let  $G$  be a simple graph. A coloring of vertices of  $G$  is called (i) a 2-proper coloring if vertices at distance 2 receive distinct colors; (ii) an injective coloring if vertices possessing a common neighbor receive distinct colors; (iii) a square coloring if vertices at distance at most 2 receive distinct colors. In this paper, we study inequalities of Nordhaus-Guddam type for the 2-proper chromatic number, the injective chromatic number, and the square chromatic number.

*Keywords:* Nordhaus-Guddam type, 2-proper coloring, injective coloring, square coloring, chromatic number.

## 1 Introduction

Let  $G = (V, E)$  be a finite simple graph with vertex set  $V(G)$  and edge set  $E(G)$ . The *order*  $|G|$  of  $G$  is the cardinality of  $V(G)$ . The *degree*  $d_G(v)$  of a vertex  $v \in V(G)$  is the number of edges incident to  $v$ . The *maximum* and *minimum* degree of  $G$  are denoted by  $\Delta(G)$  and  $\delta(G)$ , respectively. The *neighborhood*  $N_G(v)$  of a vertex  $v \in V(G)$  is the set of vertices adjacent to  $v$ . The *distance*  $d_G(u, v)$  between two vertices  $u$  and

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$v$  is the length of a shortest  $(u, v)$ -path. We abbreviate  $d_G(u, v)$  to  $d(u, v)$  when no ambiguity arises. A subset  $S$  of  $V(G)$  is an *independent* set of  $G$  if  $uv \notin E(G)$  for all vertices  $u$  and  $v$  in  $S$ . A subset  $W$  of  $V(G)$  is a *clique* of  $G$  if  $uv \in E(G)$  for all vertices  $u$  and  $v$  in  $W$ . A clique on  $n$  vertices is denoted by  $K_n$ . The *complement*  $\overline{G}$  of  $G$  is the graph defined on the vertex set  $V(G)$  of  $G$  such that an edge  $uv \in E(\overline{G})$  if and only if  $uv \notin E(G)$ .

Let  $k$  be a positive integer. A mapping  $f : V(G) \rightarrow \{1, 2, \dots, k\}$  is called a (proper)  $k$ -*coloring* of  $G$  if  $f(u) \neq f(v)$  whenever  $uv \in E(G)$ . The *chromatic number*  $\chi(G)$  of  $G$  is the minimum number  $k$  such that  $G$  has a  $k$ -coloring. The following is a well-known theorem of Nordhaus and Guddam [7].

**Theorem 1** *If  $G$  is a graph of order  $n$ , then*

1.  $2\sqrt{n} \leq \chi(G) + \chi(\overline{G}) \leq n + 1$ .
2.  $n \leq \chi(G)\chi(\overline{G}) \leq (n + 1)^2/4$ .

Inequalities involving the sum or product of a parameter applied to a graph and its complement are commonly known as Nordhaus-Guddam type relations. The reader is referred to Aouchiche and Hansen [1] for a recent survey.

A mapping  $f : V(G) \rightarrow \{1, 2, \dots, k\}$  is called

- a *2-proper  $k$ -coloring* of  $G$  if  $f(u) \neq f(v)$  whenever  $d(u, v) = 2$ ;
- an *injective  $k$ -coloring* of  $G$  if  $f(u) \neq f(v)$  whenever the  $u$  and  $v$  have a common neighbor;
- a *square  $k$ -coloring* of  $G$  if  $f(u) \neq f(v)$  whenever  $d(u, v) \leq 2$ .

The minimum number  $k$  such that  $G$  has a 2-proper, an injective, or a square  $k$ -coloring is called the *2-proper*, *injective*, or *square chromatic number* of  $G$ . They are denoted by  $\chi_2(G)$ ,  $\chi_i(G)$ , and  $\chi_{\square}(G)$ , respectively. Let  $G^2$  be the square graph of  $G$  obtained by adding a new edge between any pair of vertices that are distance 2 apart in  $G$ . Obviously,  $\chi_{\square}(G)$  is precisely  $\chi(G^2)$ .

The above graph colorings are closely related to a more general notion of graph labelings. Let  $p$  and  $q$  be two nonnegative integers. A  $k$ - $L(p, q)$ -labeling of a graph  $G$  is a mapping  $f : V(G) \rightarrow \{0, 1, \dots, k\}$  such that  $|f(u) - f(v)|$  is at least  $p$  if  $d(u, v) = 1$

and at least  $q$  if  $d(u, v) = 2$ . The  $L(p, q)$ -labeling number  $\lambda(G; p, q)$  of  $G$  is the least  $k$  such that  $G$  has a  $k$ - $L(p, q)$ -labeling with  $\max\{f(v) \mid v \in V(G)\} = k$ . Obviously, an  $L(1, 0)$ -labeling of a graph  $G$  is a proper coloring of  $G$  and  $\chi(G) = \lambda(G; 1, 0) + 1$ ; an  $L(0, 1)$ -labeling of a graph  $G$  is a 2-proper coloring of  $G$  and  $\chi_2(G) = \lambda(G; 0, 1) + 1$ ; an  $L(1, 1)$ -labeling is a square coloring and  $\chi_{\square}(G) = \lambda(G; 1, 1) + 1$ . Note that, if  $G$  is triangle-free, then  $\chi_i(G) = \chi_2(G)$ . The reader is referred to Yeh [8] for a survey on  $L(p, q)$ -labelings of graphs. The injective coloring has been studied in [2–6].

In this paper, we study inequalities of Nordhaus-Guadram type for the 2-proper chromatic number, the injective chromatic number, and the square chromatic number. Graphs attaining extrema are also obtained.

## 2 2-proper chromatic numbers

For a given coloring of a graph, a *color class* consists of all vertices of a fixed color. Note that any color class of a 2-proper coloring consists of disjoint cliques. For  $n_1 \geq n_2 \geq \dots \geq n_r \geq 1$ , let  $K_{n_1, n_2, \dots, n_r}$  denote the *complete  $r$ -partite graph* such that its vertex set has  $r$  disjoint parts with edges joining every pair of vertices belonging to different parts.

**Lemma 2** For  $r \geq 2$ ,  $\chi_2(K_{n_1, n_2, \dots, n_r}) = n_1$ .

**Proof.** Let  $\{V_1, V_2, \dots, V_r\}$  denote the parts of  $G = K_{n_1, n_2, \dots, n_r}$  with  $|V_i| = n_i$ ,  $1 \leq i \leq r$ . For each  $i$ , color the vertices of  $V_i$  with colors  $1, 2, \dots, n_i$  such that no pair of vertices receiving the same color to obtain a 2-proper coloring. Hence  $\chi_2(G) \leq n_1$ . Since any two vertices in  $V_1$  are at distance 2,  $\chi_2(G) \geq n_1$ . ■

**Theorem 3** For any graph  $G$  of order  $n$ ,

$$1 \leq (\chi_2(G)\chi_2(\overline{G}))^{1/2} \leq \frac{\chi_2(G) + \chi_2(\overline{G})}{2} \leq \frac{n+1}{2}.$$

**Proof.** It suffices to prove that  $\chi_2(G) + \chi_2(\overline{G}) \leq n+1$ . Without loss of generality, we may suppose that  $\chi_2(G) \geq \chi_2(\overline{G})$ . If  $\chi_2(G) \leq (n+1)/2$ , then  $\chi_2(G) + \chi_2(\overline{G}) \leq n+1$ . Now assume that  $\chi_2(G) > (n+1)/2$ .

Among all 2-proper colorings of  $G$  using  $\chi_2(G)$  colors, let  $f$  be chosen with the maximum number of singleton color classes. Let  $\{X_i\}_{i=1}^a$ ,  $\{Y_j\}_{j=1}^b$ , and  $\{Z_k\}_{k=1}^c$  denote, respectively, the collections of color classes of  $f$  such that each  $X_i$  is a singleton,

each  $Y_j$  consists of a single clique of size at least two, and each  $Z_k$  consists of at least two disjoint cliques. Thus  $\chi_2(G) = a + b + c > (n + 1)/2$ . First note that  $a > 0$ , for otherwise  $n \geq 2b + 2c = 2\chi_2(G) > n + 1$ .

Let  $\mathcal{X} = \bigcup_{i=1}^a X_i$ ,  $\mathcal{Y} = \bigcup_{j=1}^b Y_j$ , and  $\mathcal{Z} = \bigcup_{k=1}^c Z_k$ . Then  $\mathcal{X}$  must be an independent set, for otherwise we may re-color two adjacent vertices in  $\mathcal{X}$  with the same color to obtain a 2-proper coloring of  $G$  using  $\chi_2(G) - 1$  colors. The complement  $\overline{G[Z_k]}$  of the subgraph  $G[Z_k]$  induced by  $Z_k$  in  $G$  is a complete multipartite graph. By Lemma 2,  $\chi_2(\overline{G[Z_k]}) \leq |Z_k| - 1$ .

Now suppose that  $b > 0$ . There is a vertex  $u_1$  of  $Y_1$  that is non-adjacent to any vertex in  $\mathcal{X}$ . Otherwise, we could re-color each vertex  $y$  of  $Y_1$  with color  $f(x_{i_y})$ , where  $i_y = \min\{t \mid x_t \in N_G(y) \cap \mathcal{X}\}$ , to obtain a 2-proper coloring of  $G$  with  $\chi_2(G) - 1$  colors. Next, we move any vertex  $v \in Y_1$  that is different from  $u_1$  and adjacent to all vertices of  $Y_2$  from  $Y_1$  to  $Y_2$ . In view of the maximality of  $a$ , we are left with at least one  $v_1 \in Y_1$  that is different from  $u_1$  and non-adjacent to a certain vertex  $u_2 \in Y_2$  if  $b > 1$ . We may repeat this process of moving vertices to the next color class until we obtain a sequence of vertices  $u_1, v_1, u_2, v_2, \dots, u_b, v_b$  such that  $u_j, v_j \in Y_j$ , and  $u_j \neq v_j$  for  $1 \leq j \leq b$  and  $v_j u_{j+1} \in E(\overline{G})$  for  $1 \leq j \leq b - 1$ . Now, in  $\overline{G}$ , we color  $u_1$  and the vertices in  $\mathcal{X}$  with color 1,  $v_j$  and  $u_{j+1}$  with color  $j + 1$  for  $1 \leq j \leq b - 1$ , and the vertices in  $\mathcal{Y} \setminus \{u_1, v_1, \dots, v_{b-1}, u_b\}$  with colors  $b + 1, b + 2, \dots, |\mathcal{Y}| - b + 1$  such that no pair of vertices receiving the same color. It follows that

$$\begin{aligned} \chi_2(\overline{G}) &\leq \sum_{k=1}^c \chi_2(\overline{G[Z_k]}) + |\mathcal{Y}| - b + 1 \\ &\leq |\mathcal{Z}| - c + |\mathcal{Y}| - b + 1 \\ &= n - a - b - c + 1 \\ &= n - \chi_2(G) + 1. \end{aligned}$$

The above inequalities hold even if  $b = 0$ . Therefore,  $\chi_2(G) + \chi_2(\overline{G}) \leq n + 1$ . ■

Let us consider the sharpness of inequalities in the above theorem. The lower bound is sharp since  $\chi_2(K_n) = \chi_2(\overline{K_n}) = 1$ . For the case of upper bound, we first construct an auxiliary graph  $H_k$  as follows. Let  $k \geq 6$ . The vertex set of  $H_k$  can be partitioned into an independent set  $X = \{x_0, x_1, \dots, x_{k-1}\}$  and a clique  $Y = \{y_0, y_1, \dots, y_{k-1}\}$  so that each  $x_i$  is joined to  $y_i, y_{i+1}, \dots, y_{i+\lfloor k/2 \rfloor}$  except  $y_{i+\lfloor k/2 \rfloor - 1}$ . Here indices are taken modulo  $k$ .

For  $0 \leq i \neq j < k$ , if  $y_{i+\lfloor k/2 \rfloor - 1}$  and  $y_{j+\lfloor k/2 \rfloor - 1}$  are not neighbors of both  $x_i$  and  $x_j$ , then  $x_i$  and  $x_j$  together have  $2\lfloor k/2 \rfloor > k - 2$  edges joining  $Y$ . Hence, they must have a common neighbor and  $d_{H_k}(x_i, x_j) = 2$ . Suppose that  $x_i$  is adjacent to

$y_{j+\lfloor k/2 \rfloor - 1}$ . Since  $k \geq 6$ , there are three possibilities: (i)  $y_{i+\lfloor k/2 \rfloor} = y_{j+\lfloor k/2 \rfloor - 1}$ ; (ii)  $y_{i+\lfloor k/2 \rfloor - 2} = y_{j+\lfloor k/2 \rfloor - 1}$ ; (iii)  $y_{i+t} = y_{j+\lfloor k/2 \rfloor - 1}$  for some  $0 \leq t \leq \lfloor k/2 \rfloor - 3$ . Then  $x_i$  and  $x_j$  have a common neighbor  $z$ , where  $z$  is  $y_j$  for (i),  $y_{j+1}$  for (ii), and  $y_{j+\lfloor k/2 \rfloor}$  for (iii). Again,  $d_{H_k}(x_i, x_j) = 2$ .

The complement graph  $\overline{H_k}$  can be isomorphically described as follows. Let  $X = \{x_0, x_1, \dots, x_{k-1}\}$  be a clique and  $Y = \{y_0, y_1, \dots, y_{k-1}\}$  be an independent set such that each  $y_i$  is joined to  $x_i, x_{i+1}, \dots, x_{i+\lfloor k/2 \rfloor}$  except  $x_{i+\lfloor k/2 \rfloor - 1}$ . When  $k$  is even,  $\overline{H_k}$  is isomorphic to  $H_k$ . When  $k$  is odd, any  $y_i$  and  $y_j$ ,  $i \neq j$ , together have  $2\lceil k/2 \rceil = k + 1$  edges joining  $X$ . It follows that  $d_{\overline{H_k}}(y_i, y_j) = 2$  for  $0 \leq i \neq j < k$ .

In the second step, we construct a graph  $H_{\text{od}}$  of order  $2k + 1 \geq 13$  and a graph  $H_{\text{ev}}$  of order  $2k + 2 \geq 14$  as follows. We join a new vertex  $\infty$  to all  $y_i$ 's in  $H_k$  to obtain  $H_{\text{od}}$  and two new independent vertices  $\infty_1$  and  $\infty_2$  to all  $y_i$ 's in  $H_k$  to obtain  $H_{\text{ev}}$ . It is straightforward to see that  $\chi_2(H_{\text{od}}) + \chi_2(\overline{H_{\text{od}}}) = 2k + 2$  and  $\chi_2(H_{\text{ev}}) + \chi_2(\overline{H_{\text{ev}}}) = 2k + 3$ .

### 3 Injective chromatic numbers

For the injective chromatic number  $\chi_i(G)$  of a graph  $G$ , it is clear that  $\Delta(G) \leq \chi_i(G) \leq |G|$ . Note that if  $S$  is a color class of an injective  $k$ -coloring, then  $\Delta(G[S]) \leq 1$ .

Suppose  $G$  is a graph of order  $n \leq 4$ . It is routine to check that (i)  $n \leq \chi_i(G) + \chi_i(\overline{G}) \leq 2n$  except  $\chi_i(C_4) + \chi_i(\overline{C_4}) = 3$ ; (ii)  $n \leq \chi_i(G)\chi_i(\overline{G}) \leq n^2$  except  $G \in \{K_2, \overline{K_2}, P_3, \overline{P_3}, C_4, \overline{C_4}\}$ . Here,  $P_n$  and  $C_n$  denote a path and a cycle on  $n$  vertices, respectively.

**Lemma 4** *Suppose that the graph  $G$  has order  $n \geq 5$ . Then the following statements hold.*

- (1) *If  $\delta(G) \geq (n + 1)/2$ , then  $\chi_i(G) = n$ .*
- (2) *If  $\delta(G) = \lfloor (n - 1)/2 \rfloor$ , then  $\chi_i(G) \geq \delta(G) + 1$ .*

**Proof.** (1) Since  $\delta(G) \geq (n + 1)/2$ ,  $d_G(u) + d_G(v) \geq n + 1$  for any two vertices  $u$  and  $v$  in  $G$ . Then  $u$  and  $v$  have a common neighbor. Hence,  $\chi_i(G) = n$ .

(2) If  $\Delta(G) > \delta(G)$ , then  $\chi_i(G) \geq \Delta(G) \geq \delta(G) + 1$ . Consider  $\Delta(G) = \delta(G) = \lfloor (n - 1)/2 \rfloor = k$ . Suppose  $\chi_i(G) = k$  and let  $\{V_1, V_2, \dots, V_k\}$  be the set of color classes of an injective  $k$ -coloring of  $G$ . If  $|V_i| \leq 2$  for all  $i$ , then  $n = \sum_{i=1}^k |V_i| \leq 2k \leq n - 1$ , a contradiction. Assume that, for some  $i$ ,  $V_i$  contains at least three vertices  $v_1, v_2, v_3$ .

Since no two vertices in  $V_i$  have a common neighbor,  $\Delta(G[V_i]) \leq 1$  and hence  $n - 3 \geq |\bigcup_{i=1}^3 N_G(v_i) \setminus \bigcup_{i=1}^3 \{v_i\}| \geq 2(k - 1) + k > n - 3$  when  $n \geq 5$ , again a contradiction. ■

**Lemma 5** *Suppose  $G$  is a  $k$ -regular graph of order  $n \geq 5$ .*

- (1) *If  $k > n/2$  or  $k < (n - 2)/2$ , then  $n + 1 \leq \chi_i(G) + \chi_i(\overline{G}) \leq 2n$ .*
- (2) *If  $k = n/2$  or  $(n - 2)/2$ , then  $n \leq \chi_i(G) + \chi_i(\overline{G}) \leq 2n$ .*

**Proof.** The upper bounds are obvious. Note that, since  $G$  is  $k$ -regular,  $\overline{G}$  is  $k'$ -regular, where  $k' = n - k - 1$ .

(1) If  $k > n/2$ , by (1) of Lemma 4,  $\chi_i(G) = n$ . Then  $\chi_i(G) + \chi_i(\overline{G}) = n + \chi_i(\overline{G}) \geq n + 1$ . If  $k < (n - 2)/2$ , then  $k' > n/2$ . By (1) of Lemma 4,  $\chi_i(\overline{G}) = n$  and then  $\chi_i(G) + \chi_i(\overline{G}) = \chi_i(G) + n \geq n + 1$ .

(2) If  $k = n/2$ , then  $k' = (n - 2)/2$ . By (2) of Lemma 4,  $\chi_i(\overline{G}) \geq k' + 1$  and then  $\chi_i(G) + \chi_i(\overline{G}) \geq k + k' + 1 = n$ . If  $k = (n - 2)/2$ , by (2) of Lemma 4,  $\chi_i(G) \geq k + 1$  and then  $\chi_i(G) + \chi_i(\overline{G}) \geq k + 1 + k' = n$ . ■

**Theorem 6** *Suppose  $G$  is a graph of order  $n \geq 5$ .*

- (1) *If  $n = 5$  or  $n$  is even, then  $n \leq \chi_i(G) + \chi_i(\overline{G}) \leq 2n$ .*
- (2) *If  $n \geq 7$  is odd, then  $n + 1 \leq \chi_i(G) + \chi_i(\overline{G}) \leq 2n$ .*

**Proof.** The upper bounds are obvious. It is clear that  $\chi_i(G) + \chi_i(\overline{G}) \geq \Delta(G) + \Delta(\overline{G}) = \Delta(G) - \delta(G) + n - 1 \geq n + 1$  if  $\Delta(G) - \delta(G) \geq 2$ .

*Case 1.*  $\Delta(G) = \delta(G) = k$ .

Then  $G$  is  $k$ -regular and  $\overline{G}$  is  $k'$ -regular, where  $k' = n - k - 1$ . If  $n$  is even, then Lemma 5 implies  $\chi_i(G) + \chi_i(\overline{G}) \geq n$ . Moreover, suppose that  $n$  is odd. If  $k \neq (n - 1)/2$ ,  $\chi_i(G) + \chi_i(\overline{G}) \geq n + 1$  by (1) of Lemma 5. If  $k = (n - 1)/2$ , then  $k' = (n - 1)/2$ . By (2) of Lemma 4,  $\chi_i(G) + \chi_i(\overline{G}) \geq k + 1 + k' + 1 = n + 1$ .

*Case 2.*  $\Delta(G) - \delta(G) = 1$ .

Then  $\chi_i(G) + \chi_i(\overline{G}) \geq \Delta(G) + \Delta(\overline{G}) \geq n$  and (1) is established. Next, let  $n \geq 7$  be an odd integer. Suppose on the contrary that  $\chi_i(G) + \chi_i(\overline{G}) = n$ . Then  $\chi_i(G) = \Delta(G)$ ,  $\chi_i(\overline{G}) = \Delta(\overline{G})$  and  $\Delta(G) + \Delta(\overline{G}) = n$ . Without loss of generality, we may assume  $\Delta(G) \geq \Delta(\overline{G})$ . Hence,  $\Delta(G) \geq n/2$  which implies  $\Delta(G) \geq (n + 1)/2$ . If  $\Delta(G) > (n + 1)/2$ , then  $\delta(G) = \Delta(G) - 1 \geq (n + 1)/2$ . By (1) of Lemma 4,  $\chi_i(G) = n$  and then  $\chi_i(G) + \chi_i(\overline{G}) \geq n + 1$ . Suppose  $\chi_i(G) = \Delta(G) = (n + 1)/2$ . Then  $\chi_i(\overline{G}) = \Delta(\overline{G}) = (n - 1)/2$  and  $\delta(\overline{G}) = (n - 3)/2$ . Let  $p = (n - 1)/2$  and  $\{V_1, V_2, \dots, V_p\}$  be the set of color classes of an injective  $p$ -coloring of  $\overline{G}$ . Since

$p = (n - 1)/2$ ,  $V_i$  contains at least three vertices  $v_1, v_2, v_3$  for some  $i$ . Since no two vertices in  $V_i$  have a common neighbor,  $\Delta(G[V_i]) \leq 1$  and hence  $n - 3 \geq |\bigcup_{i=1}^3 N_G(v_i) \setminus \bigcup_{i=1}^3 \{v_i\}| \geq 2(\delta(\overline{G}) - 1) + \delta(\overline{G}) = (n - 3) + (n - 7)/2$ . It follows that  $n = 7$  and  $N_{\overline{G}}(v_1) = \{v_2, v_4\}$ ,  $N_{\overline{G}}(v_2) = \{v_1, v_5\}$  and  $N_{\overline{G}}(v_3) = \{v_6, v_7\}$ . Then, in  $G$ , we have  $v_1v_5 \in E(G)$ ,  $v_2v_4 \in E(G)$ , and  $N_G(v_3) = \{v_1, v_2, v_4, v_5\}$ . Therefore, any pair  $v_i$  and  $v_j$ ,  $1 \leq i < j \leq 5$ , have a common neighbor. Then  $5 \leq \chi_i(G) = (n + 1)/2 = 4$ , a contradiction. Therefore,  $\chi_i(G) + \chi_i(\overline{G}) \geq n + 1$ . ■

**Theorem 7** For any graph  $G$  of order  $n \geq 5$ ,  $n \leq \chi_i(G)\chi_i(\overline{G}) \leq n^2$ .

**Proof.** The upper bound is obvious. Let  $G$  be a graph of order  $n \geq 5$ . Suppose  $\chi_i(G) = p$  and  $\chi_i(\overline{G}) = q$ . Let  $f$  and  $g$  be injective  $p$ -coloring and  $q$ -coloring of  $G$  and  $\overline{G}$ , respectively. Define a mapping  $h : V(K_n) \rightarrow \{1, 2, \dots, p\} \times \{1, 2, \dots, q\}$  by  $h(u) = (f(u), g(u))$  for all  $u \in V(K_n)$ . If  $h(u) \neq h(v)$  for all vertices  $u$  and  $v$ , then  $h$  is an injective  $pq$ -coloring of  $K_n$ . Hence,  $n = \chi_i(K_n) \leq pq = \chi_i(G)\chi_i(\overline{G})$ . Suppose  $h(u) = h(v)$  for some vertices  $u$  and  $v$ . Without loss of generality, we may assume  $uv \in E(G)$ . Since  $f(u) = f(v)$ ,  $N_G(u) \cap N_G(v) = \emptyset$ . Since  $g(u) = g(v)$ ,  $x \in N_G(u) \cup N_G(v)$  for all vertices  $x$  in  $G$ . Then  $N_G(u) \cup N_G(v) = V(G)$  and any vertex  $x$  in  $G$  is adjacent to exact one of  $u$  and  $v$ . Suppose  $d_G(u) = a \geq d_G(v) = n - a$ . Then  $\chi_i(G)\chi_i(\overline{G}) \geq d_G(u)d_{\overline{G}}(v) = a(a - 1) \geq \lceil n/2 \rceil(\lceil n/2 \rceil - 1) \geq n$ . ■

Consider the sharpness of the lower bounds. For  $n = 5$ ,  $\chi_i(P_5) + \chi_i(\overline{P_5}) = 5$ . For  $n = 2k \geq 6$ ,  $\chi_i(K_{k,k}) + \chi_i(\overline{K_{k,k}}) = k + k = n$ . For  $n = 2k + 1 \geq 7$ ,  $\chi_i(K_{k+1,k}) + \chi_i(\overline{K_{k+1,k}}) = k + 1 + k + 1 = n + 1$ . For  $n \neq 2$ ,  $\chi_i(K_n)\chi_i(\overline{K_n}) = n$ .

Now consider the sharpness of the upper bounds. Note that  $\chi_i(G) = |G|$  if and only if any two distinct vertices in  $G$  have a common neighbor. Using this fact, we may see that  $\chi_i(G) + \chi_i(\overline{G}) < 2|G|$  if  $1 < |G| < 9$ . For  $k \geq 3$ , let  $n = 3k + t \geq 9$ , where  $t = 0, 1$  or  $2$ . We construct an auxiliary graph  $G_{3k}$  as follows. The vertex set of  $G_{3k}$  can be partitioned into three cliques  $X = \{x_1, x_2, \dots, x_k\}$ ,  $Y = \{y_1, y_2, \dots, y_k\}$ , and  $Z = \{z_1, z_2, \dots, z_k\}$  such that  $\{x_i, y_i, z_i\}$  forms a clique for all  $1 \leq i \leq k$ . We join a new vertex  $\infty$  to all  $x_i$ 's in  $G_{3k}$  to obtain  $G_{3k+1}$  and two new vertices  $\infty_1$  and  $\infty_2$  to all  $x_i$ 's in  $G_{3k}$  to obtain  $G_{3k+2}$ . It can be verified that any two distinct vertices in  $G_n$  and  $\overline{G_n}$  have a common neighbor. Hence,  $\chi_i(G_n) + \chi_i(\overline{G_n}) = n + n = 2n$  and  $\chi_i(G_n)\chi_i(\overline{G_n}) = n^2$ .

## 4 Square chromatic numbers

Since any pair of vertices that are adjacent or distance 2 apart receive distinct colors in a square coloring, every color class of a square coloring must be an independent set.

**Theorem 8** *For any graph  $G$  of order  $n$ ,  $n + 1 \leq \chi_{\square}(G) + \chi_{\square}(\overline{G}) \leq 2n$ , or equivalently,  $n + 1 \leq \chi(G^2) + \chi(\overline{G}^2) \leq 2n$ .*

**Proof.** The upper bound is obvious. Suppose  $G$  is a graph of order  $n$  and  $\chi(G^2) = p$ . Let  $f = (X_1, \dots, X_a, Y_1, \dots, Y_b)$  be a square  $p$ -coloring of  $G$  with  $a + b = p$ ,  $|X_i| = 1$  and  $|Y_j| \geq 2$  for all  $i$  and  $j$ . If  $a = p$ , then  $a = n$  and  $\chi(G^2) + \chi(\overline{G}^2) = n + \chi(\overline{G}^2) \geq n + 1$ . Suppose  $a < p$ . Since  $f$  is a square coloring, each  $Y_j$  is an independent set of  $G$  and any vertex  $u$  in  $Y_i$  has at most one neighbor in  $Y_j$  for all  $i \neq j$ . Hence,  $uv \in E(\overline{G})$  for some  $v$  in  $Y_j$ . Then  $d_{\overline{G}}(u, v) \leq 2$  for all vertices  $u$  and  $v$  in  $\bigcup_{j=1}^b Y_j$ . Therefore,  $\chi(\overline{G}^2) \geq n - a \geq n - p + 1 = n - \chi(G^2) + 1$ , or  $\chi(G^2) + \chi(\overline{G}^2) \geq n + 1$ . ■

**Theorem 9** *For any graph  $G$  of order  $n$ ,  $n \leq \chi_{\square}(G)\chi_{\square}(\overline{G}) \leq n^2$ , or equivalently,  $n \leq \chi(G^2)\chi(\overline{G}^2) \leq n^2$ .*

**Proof.** The upper bound is obvious. Since  $\chi(G) \leq \chi(G^2)$  and  $\chi(\overline{G}) \leq \chi(\overline{G}^2)$ , the lower bound is a consequence of the Nordhaus-Guadham theorem. ■

Consider the sharpness of the lower bounds. It is clear that  $\chi(K_n^2) = n$  and  $\chi(\overline{K}_n^2) = 1$ . Hence,  $\chi(K_n^2) + \chi(\overline{K}_n^2) = n + 1$  and  $\chi(K_n^2)\chi(\overline{K}_n^2) = n$ .

Now consider the sharpness of the upper bounds. For  $2 \leq n \leq 4$ , it is routine to check that  $\chi(G^2) + \chi(\overline{G}^2) \leq 2n - 1$  if  $|G| = n$ . For  $n \geq 5$ , we construct a graph  $F_n$  of order  $n$  as follows. The vertex set of  $F_n$  can be partitioned into a 5-cycle  $C_5 = x_1x_2x_3x_4x_5x_1$  and an independent set  $Y = \{y_1, y_2, \dots, y_{n-5}\}$  such that each  $y_i$  is adjacent to both  $x_1$  and  $x_3$ . It can be verified that any two vertices in  $F_n$ , or  $\overline{F}_n$ , are at distance at most 2. Hence,  $\chi(F_n^2) + \chi(\overline{F}_n^2) = n + n = 2n$  and  $\chi(F_n^2)\chi(\overline{F}_n^2) = n^2$ .

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